



A. ☒ An Assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the U.S. Patent and Trademark Office on July 27, 1998 at Reel 9344, Frame 0530, or for which a copy thereof is attached.

B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
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C. ☐ Copies of the assignments or other documents in the chain of title are attached.


The undersigned has reviewed all the documents in the chain of title of the patent application identified above and to the best of undersigned's knowledge and belief, title is in the assignee identified above.

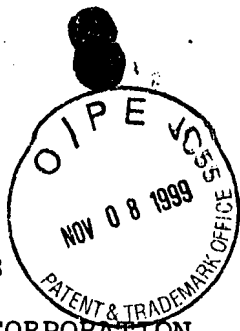
The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application identified above or any patent issuing thereon.

Walker Asset Management Limited Partnership

Dated: November 1, 1999

By  \_\_\_\_\_  
Jay S. Walker,  
President of Walker Digital Corp. as General  
Partner of Walker Asset Management Limited  
Partnership



97-054  
UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
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OCTOBER 16, 1998

PTAS



\*100782446A\*

WALKER DIGITAL CORPORATION  
DEAN ALDERUCCI  
FIVE HIGH RIDGE PARK  
STAMFORD, CONNECTICUT 06905

OCT 23 1998

UNITED STATES PATENT AND TRADEMARK OFFICE  
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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/27/1998

REEL/FRAME: 9344/0530  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WALKER, JAY S.

DOC DATE: 07/23/1998

ASSIGNOR:

JORASCH, JAMES A.

DOC DATE: 07/23/1998

ASSIGNOR:

VAN LUCHENE, ANDREW S.

DOC DATE: 07/23/1998

ASSIGNEE:

WALKER ASSET MANAGEMENT LIMITED  
PARTNERSHIP  
FOUR HIGH RIDGE PARK  
STAMFORD, CONNECTICUT 06905

SERIAL NUMBER: 08920116  
PATENT NUMBER:

FILING DATE: 08/26/1997  
ISSUE DATE:

9344/0530 PAGE 2

LAWAN FLETCHER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027

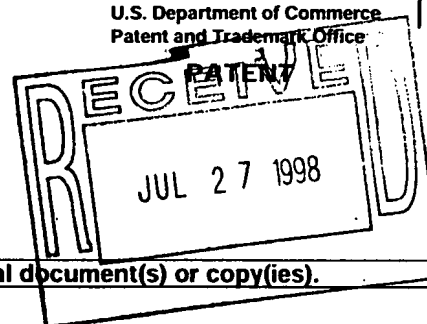


07-31-1998



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U.S. Department of Commerce  
Patent and Trademark Office



## RECORDATION FORM COVER SHEET PATENTS ONLY

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- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
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(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

### Conveying Party(ies)

- ☒ Mark if additional names of conveying parties attached
- Name (line 1)  **WALKER, Jay S.** Execution Date  **Jul. 23, 1998**
- Name (line 2)

### Second Party

- Name (line 1)  **JORASCH, James A.** Execution Date  **Jul. 23, 1998**
- Name (line 2)

### Receiving Party

- ☐ Mark if additional names of receiving parties attached
- Name (line 1)  **Walker Asset Management Limited Partnership**
- Name (line 2)
- Address (line 1)  **Four High Ridge Park**
- Address (line 2)
- Address (line 3)  **Stamford**  **Connecticut**  **06905**
- City State/Country Zip Code
- ☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

### Domestic Representative Name and Address

Enter for the first Receiving Party only.

- Name
- Address (line 1)
- Address (line 2)
- Address (line 3)
- Address (line 4)

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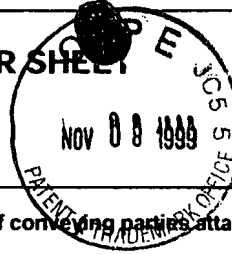
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Mail documents to be recorded with required cover sheet(s) information to:  
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PATENT



Conveying Party(ies)

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Enter additional Conveying Parties

Name (line 1) VAN LUCHENE, Andrew S.

Execution Date  
Month Day Year  
Jul. 23, 1998

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

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Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

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Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



RECEIVED

U.S. Department of Commerce  
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JUL 27 1998  
PATENT

**Correspondent Name and Address**

Area Code and Telephone Number (203) 705-3006

Name Dean Alderucci

Address (line 1) Walker Digital Corporation

Address (line 2) Five High Ridge Park

Address (line 3) Stamford, Connecticut 06905

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 2

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**

08/920,116

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 50-0271

Authorization to charge additional fees:

Yes

☒

No

☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Dean Alderucci

Name of Person Signing

PTO Reg. No. 40,484

Signature

July 23, 1998

Date

## ASSIGNMENT

WHEREAS, I/We, the below named inventor(s), (hereinafter referred to as Assignor), have made an invention entitled

### **METHOD AND SYSTEM FOR PROCESSING SUPPLEMENTARY PRODUCT SALES AT A POINT-OF-SALE TERMINAL**

for which I/we executed United States patent application Serial No. 08/920,116 on August 26, 1997; and

WHEREAS, Walker Asset Management Limited Partnership, whose post office address is Four High Ridge Park, Stamford, CT 06905-1325 (hereinafter referred to as Assignee), is desirous of securing the entire right, title and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I/we, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Agreement;

AND, I/WE HEREBY covenant that I/we have the full right to convey the interest assigned by this Assignment, and I/we have not executed and will not execute any agreement in conflict with this Assignment;

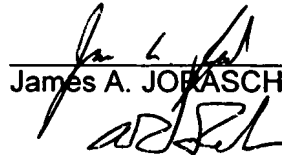
AND, I/WE HEREBY further covenant and agree that I/we will, without further consideration, communicate with assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.



IN TESTIMONY WHEREOF, I/we have hereunto set my/our hand(s) and seal(s) this 23<sup>rd</sup> day of July, 1998.



Jay S. WALKER



James A. JORASCH



Andrew S. VAN LUCHENE

County of Fairfield )

State of Connecticut )

SS:

On this 23rd day of July, 1998, before me, the undersigned officer, personally appeared Jay S. Walker, James A. Jorasch and Andrew S. Van Luchene known to me to be the persons whose names are subscribed to the within instrument and acknowledged that they executed the same for the purposes therein contained.

In witness whereof I hereunto set my hand.



Charles A. Rattner  
Notary Public

My Commission Expires June 30, 2003